



Symbol of Excellence

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 Define the concept of Income and Income Tax. How are residential status and incidence of tax determined in case of a company?
- Q.2 Make a list of different heads of income. How difference heads of income applicable on individual heads of income applicable on individual and corporate assesses? Explain by taking a suitable example.
- Q.3 What is the difference between deduction and exemptions? Explain in detail two deductions and five exemptions applicable on companies.
- Q.4 What is tax planning? How is it different from tax evasion? Explain the scope of tax planning.
- Q.5 Explain the tax planning in the following cases: (a) Ownership pattern of firms (b) Dividends policy.
- Q.6 Comment on tax considerations in respect of the following managerial decisions:
(a) Make or buy decisions (b) Capital budgeting decisions.
- Q.7 What is double taxation? Explain the implications of avoidance of double taxation agreements.
- Q.8 Explain any *two* of the following: (a) Carry forward of losses (b) Corporate tax provisions
(c) Additional tax on undistributed profits (d) Foreign collaboration and taxation.



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MBA 3rd Semester, FM – 303 (Corporate Taxation)

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 Write notes on the following: (a) Company (b) Indian Company (c) Residence of a company
(d) Conditions for set off and carry forward of losses on amalgamation of companies.
- Q.2 Explain in brief the various deductions u/s 80 which can be claimed by a company.
- Q.3 What tax incentives have to kept in mind while selecting nature or activity of business?
- Q.4 How tax planning can be done regarding issue of bonus shares and intercorporate dividends?
- Q.5 "The loan capital contributes to tax saving resulting a higher rate of return on owner's equity." Do you agree with this statement? If not, illustrate your answer with suitable example.
- Q.6 Examine the provisions of tax planning regarding Amalgamation of companies.
- Q.7 Explain the tax consideration to be kept in mind while deciding on 'Shutdown or Continue' of a loss making business, which also has unabsorbed depreciation.
- Q.8 Explain any *two* of the following: (a) Tax of distributed profit of a company (b) Minimum Alternative Tax
(c) When a leasing be preferred over purchase? (d) Company in which public are substantially interested.



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MBA 3rd Semester, FM – 303 (Corporate Taxation)

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 Discuss the significance and problems of Tax Management. How is it different from tax planning? Also illustrate the recognized methods of tax planning.
- Q.2 What tax incentives are available to a new businessperson who establishes his business in backward areas? Explain the other types of business location from tax planning point of view.
- Q.3 A newly established company ABC Ltd. wants to know the relief and rebates for tax planning. The company has approached to for detailed advice and you are required to write a report that is to be sent to the company, along with explanations, if necessary.
- Q.4 What kind of comprehensive tax planning you will make if you are a new multi-national company in India? Support your answer with examples and discuss the essential of tax planning.
- Q.5 A newly established company XYZ Ltd. wants to determine its bonus share policy. The company has approached to you (as tax expert) for detailed advice as a matter of tax planning. You are required to write a report that is to be sent to the company, along with explanations, if necessary.
- Q.6 Discuss and illustrate the main provisions of tax planning regarding foreign collaboration agreements and joint venture abroad. Give your report to the LMP Ltd., a company for its further consideration.
- Q.7 Write short notes on the following as an tax expert:
(a) Own or Lease Vs. Tax Planning (b) Shutdown or Continue Vs. Tax Planning
Also bring out your expert opinion on make or buy decision.
- Q.8 "Black money has become a great problem for our economy and the Govt. has not been able to check its growth." Discuss the statement and give your expert comments as to whether this problem can be checked through AOP and TDS methods.
- Q.9 You are the DDO of JKL limited and your top management has asked you to chalk out a comprehensive tax planning scheme for the welfare of your employees. You may submit you detailed report along with suitable examples.



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Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 How is modern investment management different from the traditional one? State the steps in the process of investment management.
- Q.2 What are the objectives of stock market indices? State the mechanism for construction of BSE sensitive Index and S&P CNX Nifty.
- Q.3 Explain how the return and risk of securities and portfolios are measured.
- Q.4 Define the term 'Listing'. What are its merits? State the essential requirements for listing.
- Q.5 Explain various models for valuation of Equity Shares.
- Q.6 How is technical analysis different from fundamental analysis? Discuss how the technical analysis can be conducted with the help of charts.
- Q.7 State the recent developments that have taken place in the Indian stock market.
- Q.8 Explain any *four* of the following: (a) Objectives of Credit Rating (b) Real Estate Investment
(c) Random Walk (d) Cost of Investing in Securities (e) Repo and Reverse Repo



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MBA 3rd Semester, FM – 304 (Security and Investment Management)

H.K. Hi-Tech
College of IT & Management

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 Explain the following, in not more than 100 words: (a) Repos (b) Gilt Securities (c) Arbitrage
(d) CPs (e) Floating Rate of Interest (f) Risk vis-a-vis Return (g) Sectoral Indices
- Q.2 Explain the term 'Beta'. How can beta be used for stock selection at the time of taking investment decisions?
- Q.3 What is Fundamental Analysis? Discuss the various considerations kept in mind while carrying out this analysis.
- Q.4 Write a detailed note on various non-security forms of investment options available in India.
- Q.5 Differentiate between brokers and traders. What kind of accounting system is being used by brokers for maintaining the accounts of their clients?
- Q.6 Write a detailed note on the Efficient Market Theory. Do you think it holds true in India?
- Q.7 In recent times the Indian Stock Markets have grown both in terms of value and volume. Give your unrestricted point of view on this growth.
- Q.8 What do you understand by Portfolio Diversification? Explain in detail the various considerations important for identifying an ideal portfolio.



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MBA 3rd Semester, FM – 304 (Security and Investment Management)

H.K. Hi-Tech
College of IT & Management

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 (a) Distinguish between expected return, actual return and required return.
(b) What is Sensex? How is it constructed? (c) Explain the term 'Breadth of the Market'.
(d) What do you mean by Insider Trading (e) What is repo rate? Who determines it?
(f) Explain the functions of Depository. (g) Explain is Margin Trading in Stock Market?
- Q.2 Distinguish between investing, speculating and gambling. Explain the mechanism of investing. What steps are taken in this respect? Also explain in brief recent development in this respect.
- Q.3 Explain the security Credit Rating. What is objective of credit rating? Explain the mechanism of awarding the credit rating to a company. Explain important SEBI guidelines in brief in this respect.
- Q.4 Critically examine the various models of valuation of equity shares along with their merits and demerits? Explain your answer with suitable data.
- Q.5 Write notes on the following: (a) Term Structure of interest rate and duration.
(b) Duties and power of the principal broker.
- Q.6 Explain the term money market. What are important instruments through which funds are raised from the money market? Explain recent developments in Indian Money Market.
- Q.7 Distinguish between technical approach and fundamental approach of Stock Market Analysis. Also explain important technical indicators with suitable data and diagrams.
- Q.8 Write notes on the following: (a) Semistrong of Market efficiency: tests and evidences
(b) Trading and mechanism of government securities at NSE.



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Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 Explain the procedure for evaluating risks and returns of assets and liabilities of financial institutions.
- Q.2 How would you analyse the borrowing and lending behaviour of financial institutions? Explain.
- Q.3 Which interest rates are regulated in India? How have interest rates in India behaved over time?
- Q.4 Discuss the working and organisation of IFCI.
- Q.5 What is the rationale of Financial Institutions? Describe important financial management models and their application in financial institutions.
- Q.6 What is a Commercial Bank? How is financial management done in commercial banks? Also discuss major banking laws and regulations.
- Q.7 Discuss the growth and trends of development banking in industrial financing in India.
- Q.8 Write notes on the following:
(a) Problems of Time and Cost Overruns (b) International aspects of Financial Institutions.



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MBA 3rd Semester, FM – 305 (Management of Financial Institutions)

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 Write notes on the following: (a) Capital Adequacy (b) Thrift Institutions
- Q.2 Critically evaluate financial management of Indian Commercial Banks.
- Q.3 Write a note on working of Mutual Funds in India.
- Q.4 Discuss potentials and challenges of Insurance Companies specially in context of Private Sector.
- Q.5 Discuss strengths and weaknesses of financial planning of Indian Financial Institutions.
- Q.6 Discuss impact of interest rate on an economy. How are short-term and long-term interest rates related?
- Q.7 Discuss role and importance of Financial Institutions.
- Q.8 Write notes on the following:
(a) Time and cost over-run problem (b) International aspects of Financial Institutions.



Symbol of Excellence

MBA 3rd Semester, FM – 305 (Management of Financial Institutions)

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 What role of financial institution play as a financial intermediary in financial market? Discuss.
- Q.2 Write a detailed note on financial management models and their applications in financial institutions.
- Q.3 "Evaluating the risks and returns of assets and liabilities of financial is not an easy job." Comment on the statement.
- Q.4 What are the factors that are responsible for differences in interest rates? Discuss.
- Q.5 "Development banks are concentrating on disbursement rather than on the development function." Analyse the statement critically.
- Q.6 What is the need of setting up mutual funds? Have they been successful in mopping up savings?
- Q.7 Discuss the working and organization of UTI.
- Q.8 Write short notes on the following:
(a) Capital Adequacy and Capital Planning (b) Financial Planning of Financial Institutions.



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MBA 3rd Semester, FM – 305 (Management of Financial Institutions)

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 Discuss the role and importance of IFCI and IDBI in financing industrial enterprises.
- Q.2 Define the concept of financial models? What are the various types of financial models? What are the limitations of these models?
- Q.3 Discuss the elements of the process of the Financial Management of Commercial Banks.
- Q.4 Support you are appointed as Risk manager of a financial institution of national character. Your institution if faced with various types of risk. Discuss, how would you evaluate and manage these risks?
- Q.5 Discuss any two theories of interest rate determination in detail.
- Q.6 Describe the main provisions of RBI's operations.
- Q.7 Write notes on the following: (a) Yield Curve (b) Risk and Inflation (c) Time and Cost Over-run
- Q.8 Discuss working and organization of the following financial institutions: (a) Mutual Funds (b) UTI



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MBA 3rd Semester, FM – 306 (Principles of Insurance and Banking)

H.K. Hi-Tech

College of IT & Management

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 What is a contract of Insurance? Explain and illustrate the fundamental principles of Insurance.
- Q.2 Discuss fully the implied warranties in a contract of marine insurance. When can the non-compliance with a warranty be excused?
- Q.3 Discuss the impact of privatization on the insurance industry in India. Also bring out the present status of Insurance Industry in India.
- Q.4 Discuss the claim settlement procedure for public sector General Insurance Companies for Fire claims and Motor accident claims.
- Q.5 Discuss the main guidelines for insurance companies under IRDA.
- Q.6 Mention the various kinds of returns a scheduled bank has to submit periodically to the RBI and discuss their significance from RBI's point of view.
- Q.7 When is a banker justified in refusing payment of a cheque? Explain in detail what are material alterations.
- Q.8 Discuss the performance of Public Sector Banks in facing the problem of NPA. What is the impact of 'Securitization and Reconstruction of Financial Assets and Enforcement of Security Interest Act in this regard?



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MBA 3rd Semester, FM – 306 (Principles of Insurance and Banking)

H.K. Hi-Tech

College of IT & Management

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 Define Insurance. Discuss the various types of Insurance.
- Q.2 Write short notes on the following: (a) Principles of Insurance (b) IRDA
- Q.3 Write a detailed note on the privatization of insurance industry in India.
- Q.4 Discuss the recent trends in Insurance at Global Level.
- Q.5 Discuss the main provisions of Banking Regulation Act of 1949.
- Q.6 Critically examine the RBI Act, 1934.
- Q.7 Discuss the role and various forms of securities for Bank advances. What precautions do the banks take in accepting such securities?
- Q.8 Write a critical note on Insurance Environment.



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MBA 3rd Semester, FM – 306 (Principles of Insurance and Banking)

H.K. Hi-Tech

College of IT & Management

Note : Attempt any *Five* questions. All questions carry equal marks.

- Q.1 "Insurance is helpful in managing risks." Comment and explain different types of insurance.
- Q.2 Explain the concept and implications of Bank Assurance in India.
- Q.3 Critically examine the role of external factors affecting insurance environment.
- Q.4 Describe the claim settlement procedure under life insurance contract.
- Q.5 Critically examine the main provisions of Banking Regulation Act, 1949.
- Q.6 Describe important types of securities which may be accepted by banker for providing loans.
- Q.7 Write short notes on the following:
(a) Privatization of Insurance Industry in India (b) Universal Banking in India.
- Q.8 Discuss the capital adequacy norms for Indian Banks.